





In line with our <u>red lines</u> on TTIP and <u>our analysis</u> of JURI's Draft Opinion, **European Digital Rights (EDRi)**, **Vrijschrift** and **Bits of Freedom** would now like to comment on the amendments tabled to JURI's <u>Draft Opinion</u> on TTIP.

The left column repeats JURI's Draft Report; the right column contains the amendments proposed by the members of JURI. Our comments can be found below.

For ease of reading, the headings are highlighted and marked with colours and symbols as follows:



**green** (++) for amendments we welcome;



**yellow** (+) for amendments which pursue good aims, but could benefit from further suggested improvements;



red (-) for amendments which in our view should be reconsidered;

grey for amendments in which we do not have a position.

A short justification is given, when relevant.

EDRi, Vrijschrift and Bits of Freedom recommend to:

**Support** amendments: 1-3, 5, 8-12, 16, 18-20, 22-27, 31-36, 39-45, 47-49, 52-54, 58, 62-65, 70, 71, 73-76, 78, 80, 84-88, 92, 94, 97, 104, 106, 114, 116.

**Oppose** amendments: 4, 13-15, 21, 28, 30, 37, 38, 50, 51, 56, 59, 60, 61, 67, 89, 90, 95.

#### **Amendment 1 Evelyne Gebhardt**

++ Recital A		
Draft opinion	Amendment	
A. whereas investment protection provisions and investor state dispute settlement are an essential tool in international economic relations and are very important for investment activity, and whereas a balanced relationship between the necessary and effective protection of investors, the right of States	A. whereas, since judicial systems both in the European Union and in the United States of America function effectively, there is no need for any private investor state dispute settlement mechanisms in this agreement;	

#### to regulate and an appropriate dispute settlement procedure is fundamental;



Comments: We welcome the proposed amendment as it points out that there is no need for ISDS in TTIP. ISDS is not needed as there are four alternatives to ISDS: local courts, SSDS, insurance and contracts. Major investment projects are usually accompanied by contracts, often with their own dispute settlement clauses.

#### Amendment 2 Virginie Rozière

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Recital A		
Draft opinion	Amendment	
A. whereas investment protection	A. whereas investor state dispute	
<i>provisions and</i> investor state dispute	settlement should not occur outside of a	
settlement are an essential tool in	legal framework imposed by a state	
international economic relations and are very important for investment activity, and whereas a balanced relationship	governed by rule of law;	
between the necessary and effective protection of investors, the right of States		
to regulate and an appropriate dispute settlement procedure is fundamental;		



Comments: We welcome the proposed amendment because it points to the importance of the legal framework of a state, a framework which should not be avoided or undermined through an investor state dispute settlement. Separation of powers, democratic oversight, and a legislative feedback loop are essential.

#### Amendment 3 Angelika Niebler

++ Recital A		
Amendment		
A. whereas investment protection		
provisions are a tool in international		
economic relations and are very important		
for investment activity, and whereas a		
balanced relationship between the		
necessary and effective protection of		
investors, the right of States to regulate and		
the judicial systems of States is		
fundamental;		



Comments: We welcome the proposed amendment as it adds strong wording to the importance of the judicial system of states and the amendment removes the need of a dispute settlement procedure to be balanced out against the right to regulate. Separation of powers, democratic oversight, and a legislative feedback loop are essential.

#### Amendment 4 Angel Dzhambazki, Sajjad Karim

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Recital A	
Draft opinion Amendment	

A. whereas investment protection provisions and investor state dispute settlement are an essential tool in international economic relations and are very important for investment activity, and whereas a balanced relationship between the necessary and effective protection of investors, the right of States to regulate and an appropriate dispute settlement procedure is fundamental;

A. whereas investment protection provisions and investor state dispute settlement are an essential tool in international economic relations *to ensure that states adhere to their commitments under mutually agreed international treaties*, and are very important for investment activity, and whereas a balanced relationship between the necessary and effective protection of investors, the right of States to regulate and an appropriate dispute settlement procedure is fundamental;



Comments: State tostate dispute settlement is the forum for this. Investor state dispute settlement is not the way states should be forced to fulfil their commitments under treaties. It furthermore does not improve the recital. For one, it does not address the issue of investor state dispute settlement not being essential to international economic relations.

#### Amendment 5 Heidi Hautala, Pascal Durand

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Recital A		
Draft opinion	Amendment	
A. whereas investment protection	A. whereas investment protection	
provisions and investor state dispute	provisions and investor state dispute	
settlement are <i>an essential</i> tool in	settlement are <i>a</i> tool in international	
international economic relations and are	economic relations and whereas a balanced	
very important for investment activity, and	relationship between the necessary and	
whereas a balanced relationship between	effective protection of investors, the right	
the necessary and effective protection of	of States to regulate and an appropriate	
investors, the right of States to regulate and	dispute settlement procedure is	
an appropriate dispute settlement	fundamental;	
procedure is fundamental;		



Comments: We welcome the proposed amendment. A balance between protection of investors and the right of States to regulate implies that foreign money is a important as democracy. Major international investments are almost always accompanied by contracts negotiated between governments and the investor, which often include their own dispute settlement mechanism and are tailored to the situation, and therefore do not create excessive risks for states. Furthermore, investors may take out political risk insurance and, overall, local courts and state to state arbitration complement the above mentioned negotiated contracts. Put simply, ISDS is not essential.

#### Amendment 6 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

+ Recital A			
Draft opinion Amendment			
A. whereas investment protection	A. whereas investment protection		
provisions and investor state dispute settlement are an <i>essential</i> tool in international economic relations <i>and are</i> <i>very important for</i> investment activity, and	provisions and investor state dispute settlement are a tool in international economic relations, but no study exists which proves any link between investor		

whereas a balanced relationship between the *necessary and effective* protection of investors, the right of States to regulate *and an appropriate dispute settlement procedure* is fundamental; state disputes and greater investment activity, and whereas a balanced relationship between the protection of investors and the right of States to regulate in the public interest is fundamental;



Comments: We welcome the proposed amendment as it points to the lack of factual evidence of the need of ISDS and the need for "an appropriate dispute settlement procedure". The amendment also improves the recital because an investor state dispute settlement procedure is not essential in international economic relations. However, the last added words in the amendment do not improve the recital. A balance between protection of investors and the right of States to regulate implies that foreign investment is a priority that is on a par with democracy.

#### **Amendment 7 Kostas Chrysogonos**

Recital A a (new)	
Draft opinion	Amendment
	Aa. whereas international trade and
	investment agreements concluded by EU
	institutions are subject to the rights
	guaranteed by the EU, and the principles
	underlying the protection of those rights
	in the EU, as is the precautionary
	principle which applies to environmental,
	health and consumer protection;
Comments: The proposed amendment falls outside of our scope.	

#### Amendment 8 Heidi Hautala, Pascal Durand

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Recital A a (new)		
Draft opinion	Amendment	
	Aa. whereas President Juncker has	
	clearly stated in his Political Guidelines	
	that he will not accept that the	
	jurisdiction of courts in the Member	
	States is limited by special regimes for	
	investor disputes; whereas now that the	
	results of the public consultation on	
	investment protection and ISDS in the	
	TTIP are available, a reflection process –	
	taking account of the mostly very critical	
	and constructive contributions – is needed	
	within and between the three European	
	institutions on the best way to achieve	
	investment protection and equal treatment	
	of investors without the use of the ISDS	
	mechanism;	
Comments: We welcome the proposed of	amendment as it proposes a strong recital on the	



Comments: We welcome the proposed amendment as it proposes a strong recital on the concern of the European citizen on the issue of ISDS, the danger ISDS can be for the legal framework and it asks for a reform of the investment protection policy of the European Union without the use of ISDS. ISDS is not needed as there are four alternatives to ISDS: local

courts, SSDS, insurance and contracts. Major investment projects are usually accompanied by contracts, often with their own dispute settlement clauses.

#### Amendment 9 Virginie Rozière

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Recital A a (new)		
Draft opinion	Amendment	
	Aa. whereas the European Union and the	
	USA have efficient national legal	
	frameworks and are governed by rule of	
	law;	

Comments: We welcome the proposed amendment as it points to the current legal framework of both the European Union and the USA, a legal framework in which there is no need for ISDS. Supranational adjudication takes place at a level above democracies; this creates a risk for democracies.

#### Amendment 10 Heidi Hautala, Pascal Durand

++ Recital A b (new)	
Draft opinion	Amendment
	Ab. whereas serious doubts exist regarding the compatibility of the planned ISDS provisions with the principles of autonomy, unity and effectiveness of EU as they have been interpreted by the Court of Justice;

Comments: We welcome the proposed amendment as it points out to the fact that ISDS may not be compatible with the EU legal system. Compatibility with the EU treaties is obviously essential. The European Court of Justice, in cooperation with the courts and tribunals of the Member States, has the exclusive competence to apply and interpret EU law. The inclusion of a mechanism such as ISDS in TTIP may violate Article 344 TFEU. As the ECJ stated in its recent Opinion 2/13, cf. para. 201: "The Court has consistently held that an international agreement cannot affect the allocation of powers fixed by the Treaties or, consequently, the autonomy of the EU legal system, observance of which is ensured by the Court. That principle is notably enshrined in Article 344 TFEU, according to which Member States undertake not to submit a dispute concerning the interpretation or application of the Treaties to any method of settlement other than those provided for therein (see, to that effect, Opinions 1/91, EU:C:1991:490, paragraph 35, and 1/00 EU:C:2002:231, paragraphs 11 and 12; judgments in Commission v Ireland, C-459/03, EU:C:2006:345, paragraphs123 and 136, and Kadi and Al Barakaat International Foundation v Council and Commission, EU:C:2008:461, paragraph 282)."

#### Amendment 11 Kostas Chrysogonos, Jiří Maštálka

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Recital B		
Draft opinion		Amendment
B. whereas nine EU Member States have concluded bilateral investment protection	deleted	

agreements with the USA granting US undertakings the right to bring complaints against those Member States, and whereas bilateral agreements between EU Member States contain numerous ISDS clauses;

Comments: This is irrelevant. It is not the fact of their existence that is important, but the impact, the conditions, the impact and the experience of their implementation.

#### Amendment 12 Heidi Hautala, Pascal Durand

Recit	
Draft opinion	Amendment
B. whereas nine EU Member States have concluded bilateral investment protection agreements with the USA granting US undertakings the right to bring complaints against those Member States, and whereas bilateral agreements between EU Member States contain numerous ISDS clauses;	deleted

# Amendment 13 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

Recital B		
Draft opinion	Amendment	
B. whereas nine EU Member States have concluded bilateral investment protection agreements with the USA granting US undertakings the right to bring complaints against those Member States, and whereas bilateral agreements between EU Member States contain <i>numerous</i> ISDS clauses;	B. whereas nine EU Member States have concluded bilateral investment protection agreements with the USA granting US undertakings the right to bring complaints against those Member States, and whereas numerous bilateral agreements between EU Member States contain ISDS clauses, but Regulation No 921/2014 states that existing bilateral investment agreements	
	to which Member States are parties are to be replaced by the inclusion of an investment chapter in TTIP, even without ISDS;	
Comments: The amendment seems to be not factually correct and, at best, adds confusion to		
an already irrelevant statement.		

#### Amendment 14 Angel Dzhambazki, Sajjad Karim

- Recital B	
Draft opinion	Amendment
B. whereas nine EU Member States have	B. whereas nine EU Member States have
concluded bilateral investment protection	concluded bilateral investment protection

agreements with the USA granting US undertakings the right to bring complaints against those Member States, and whereas bilateral agreements *between* EU Member States contain numerous ISDS clauses;

agreements with the USA granting US undertakings the right to bring complaints against those Member States, and whereas *over 1,400* bilateral agreements *signed by* EU Member States *already* contain numerous ISDS clauses;



Comments: The amendment does not improve the recital. It also seems to point to the need of an ISDS in TTIP, which is not true. See comments to amendment 11. Stating the number of agreements and not mentioning the number, nature, scope and relevance of "numerous" ISDS clauses is misleading.

#### Amendment 15 Virginie Rozière

- Recital B	
Draft opinion	Amendment
B. whereas nine EU Member States have	B. whereas nine EU Member States have
concluded bilateral investment protection	concluded bilateral investment protection
agreements with the USA granting US	agreements with the USA granting US
undertakings the right to bring complaints	undertakings the right to bring complaints
against those Member States, and whereas	against those Member States, and whereas
bilateral agreements between EU Member	bilateral agreements between EU Member
States contain <i>numerous</i> ISDS clauses;	States contain <i>some</i> ISDS clauses;
Comments: The text proposed by the rapporteur is irrelevant and cannot be fixed by	
clarification of this kind.	·

### **Amendment 16 Kostas Chrysogonos**

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Recital B a (new)		
Draft opinion	Amendment	
	Ba. whereas art. 344 TFEU provides that: "Member States undertake not to submit a dispute concerning the interpretation or application of the Treaties to any method of settlement other than those provided for therein";	
Comments: We welcome the referal to art. 344 TFEU. Compatibility with the EU treaties is		
essential. See comments to amendment 10.		

#### **Amendment 17 Evelyne Gebhardt**

Recital B a (new)	
Draft opinion	Amendment
	Ba. whereas the negotiations at issue are
	intended to result in an ambitious
	agreement which will protect the
	European model of the social market
	economy, as provided for by the Treaties
	of the European Union which will be
	accompanied by a significant
	improvement for the public, employees

	and consumers and by an opening-up of the market for undertakings based in the
	European Union, including SMEs;
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Comments: This amendment falls outside our scope of expertise.

### Amendment 18 Virginie Rozière

++ Recital B a (new)	
Draft opinion	Amendment
	Ba. whereas nothing can justify granting a particular category of people, in this instance investors, inordinate rights under ordinary law;



Comments: We welcome this recital as it points to the equality of all under the law. Fairness is essential in a democracy.

#### Amendment 19 Virginie Rozière

++ Recital C		
Draft opinion	Amendment	
C. whereas international agreements are a basis for legal certainty and predictability and whereas there have been many cases in which the EU and other States have brought legal action against the USA under the aegis of the WTO because the USA was believed to have failed to comply with its international obligations;	deleted	



Comments: We support this amendment for the deletion of this paragraph because this paragraph relates to state-to-state dispute cottlement. paragraph relates to state-to-state dispute settlement under the WTO. Both for bilateral agreements and WTO rules, the EU can resort to state to state arbitration to ensure the implementation of treaty obligations under US law. Supranational adjudication takes place at a level above democracies; this creates a risk for democracies.

#### Amendment 20 Heidi Hautala, Pascal Durand

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Recital C		
Draft opinion	Amendment	
C. whereas international agreements are	deleted	
a basis for legal certainty and		
predictability and whereas there have		
been many cases in which the EU and		
other States have brought legal action		
against the USA under the aegis of the		
WTO because the USA was believed to		
have failed to comply with its		
international obligations;		
Comments: Same as above.		



# Amendment 21 Angel Dzhambazki, Sajjad Karim

Draft opinion	tal C  Amendment
C. whereas international agreements are a basis for legal certainty and predictability and whereas there have been many cases in which the EU and other States have brought legal action against the USA under the aegis of the WTO because the USA was believed to have failed to comply with its international obligations;	C. whereas international agreements are a basis for legal certainty, transparency and predictability and whereas there have been many cases in which the EU and other States have brought legal action against the USA under the aegis of the WTO because the USA was believed to have failed to comply with its international obligations, notes that in some cases the US continues to refuse to respect WTO panel rulings, in clear contradiction of its commitments under WTO law;

# Amendment 22 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

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Recital C	
Draft opinion	Amendment
C. whereas international agreements are a	C. whereas international agreements are a
basis for legal certainty and predictability	basis for legal certainty and predictability
and whereas there have been many cases in	and whereas there have been many cases in
which the EU and other States have	which the EU and other States have
brought <i>legal action</i> against the USA under	brought <i>complaints</i> against the USA under
the aegis of the WTO because the USA was	the aegis of the WTO, which traditionally
believed to have failed to comply with its	uses an inter-state dispute settlement
international obligations;	<i>mechanism</i> , because the USA was
	believed to have failed to comply with its
	international obligations;
Comments: We welcome the proposed amendment, see amendment 19.	

# **Amendment 23 Kostas Chrysogonos**

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Recital C a (new)	
Draft opinion	Amendment
	Ca. whereas Article 1 TEU provides that: "decisions are taken as openly as possible and as closely as possible to the citizen"; article 10 para. 3 TEU provides that: "decisions shall be taken as openly and as closely as possible to the citizen"; the European Parliament has, according to article 218 (10) TFEU, the right to "be immediately and fully informed at all

stages of the procedure" of negotiation and conclusion of agreements between the Union and third countries"; and the
European Ombudsman has emphasised in the decision closing her own-initiative inquiry OI/10/2014/RA the need for
transparency in TTIP negotiations and public access to TTIP documents;



Comments: We welcome the proposed amendment as it rightly asks for further transparency in the TTIP negotiations, as pointed out by the European Ombudsman. Transparency and accountability are essential in a democracy.

#### **Amendment 24 Evelyne Gebhardt**

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Recital C a (new)	
Draft opinion	Amendment
	Ca. stressing the democratic legitimacy of the European Parliament and calling, therefore, for TTIP not to call into question the primacy of political decisionmaking;



Comments: We welcome the proposed amendment. TTIP, and especially regulatory cooperation, should not be undermining the existing European legal framework or undermine the legitimacy of the European Parliament.

#### Amendment 25 Heidi Hautala, Pascal Durand

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Paragraph 1 – point a		
Amendment		
deleted		



Comments: We welcome the proposed amendment. The reforms in CETA are not in line with the Resolution (2010/2203(INI)). For instance, in paragraph 31, the Parliament believed that dispute settlement regimes should include an "opportunity for parties to appeal" and an "obligation to exhaust local judicial remedies", among others and these are not included in CETA.

#### Amendment 26 Virginie Rozière

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Paragraph 1 – point a		
Draft opinion	Amendment	
a. Considers that the Commission's	a. <i>Stresses</i> that the reservations felt by	

proposals for reform initiatives relating to investment protection accord with the European Parliament resolution on the future European international investment policy (2010/2203(INI)); observes, however, that the reservations felt by the public should be taken into account in these reforms;

experts, politicians and the public should be taken into account in these reforms;



Comments: We welcome the proposed amendment. The current reforms are not in line with the European Parliament resolution on future European international investment policy (2010/2203(INI)), see amendment 25. Furthermore, the reservations on investment policy are not only felt by the public, but also by experts and politicians, as this amendment rightly points out.

#### Amendment 27 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

++ Paragraph 1 – point a	
Draft opinion	Amendment
a. Considers that the Commission's	a. Observes that the reservations felt by the
proposals for reform initiatives relating to	public should be <i>reflected in negotiations</i>
investment protection accord with the	on trade and investment agreements;
European Parliament resolution on the	
future European international investment	
policy (2010/2203(INI)); observes,	
<i>however</i> , that the reservations felt by the	
public should be taken into account in	
these reforms;	



Comments: We welcome the proposed amendment as it asks for not just taking into account the reservations felt by the public but actually reflecting the reservations in the reforms. It improves the paragraph.

#### **Amendment 28 Constance Le Grip**

Donoguani	- h 1. maint a
Draft opinion  a. Considers that the Commission's proposals for reform initiatives relating to investment protection accord with the European Parliament resolution on the future European international investment policy (2010/2203(INI)); observes, however, that the reservations felt by the public should be taken into account in these reforms;	a. Considers that the Commission's proposals for reform initiatives relating to investment protection accord with the European Parliament resolution on the future European international investment policy (2010/2203(INI)); observes, however, that the reservations felt by the public should be taken into account in these reforms, as regards notably transparency and democratic legitimacy of investment protection mechanisms;
Comments: see the comment on amendment 25.	

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Paragraph 1 – point a	
Draft opinion	Amendment
a. Considers that the Commission's	a. Considers that the Commission's
proposals for reform initiatives relating to	proposals for reform initiatives relating to
investment protection accord with the	investment protection accord with the
European Parliament resolution on the	European Parliament resolution on the
future European international investment	future European international investment
policy (2010/2203(INI)); observes,	policy (2010/2203(INI)); observes,
however, that the reservations felt by the	however, that the <i>massive</i> reservations felt
public <i>should</i> be taken into account in	by the public <i>must</i> be taken into account in
these reforms;	these reforms;



Comments: We welcome the proposed amendment. Although the first, flawed, part of the paragraph is not modified, the second part adds strong wording on the scale, the seriousness and the proposed consequences of the public reservations to the international investment policy.

### Amendment 30 Angel Dzhambazki, Sajjad Karim

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Paragraph 1 – point a		
Draft opinion	Amendment	
a. Considers that the Commission's	a. Considers that the Commission's	
proposals for reform initiatives relating to	proposals for reform initiatives relating to	
investment protection accord with the	investment protection accord with the	
European Parliament resolution on the	European Parliament resolution on the	
future European international investment	future European international investment	
policy (2010/2203(INI)); observes,	policy (2010/2203(INI)); observes,	
however, that the reservations <i>felt by the</i>	however, that the reservations <i>brought</i>	
<i>public</i> should be taken into account in	forward should be taken into account in	
these reforms;	these reforms;	
Comments: The resolution was more critical than claimed here. See also the comment on		
amendment 25.		

# **Amendment 31 Kostas Chrysogonos** on behalf of the GUE/NGL Group **Jiří Maštálka, Kostas Chrysogonos**

++ Paragraph 1 – point a – point i (new)	
Draft opinion	Amendment
	ai. Urges, given the scale of the impact which the TTIP would have on the lives of ordinary Europeans, that a referendum be held in all the EU Member States and that its outcome should be final and should determine whether the negotiations continue or are halted; taking adequate account of the concerns felt by the community at large regarding lowering of standards to the detriment of consumers, protection of public services,

# the proposed cooperation system and ISDS;



Comments: We welcome the proposed amendment as it points out to the need of involving the opinion of the European citizen in the TTIP negotiations. Trade agreement negotiations have a democracite deficit.

#### Amendment 32 Jiří Maštálka, Kostas Chrysogonos

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Paragraph 1 – point a a (new)	
Draft opinion	Amendment
	aa. Demands to suspend the TTIP negotiations and calls on the Commission to conduct a public consultation on the content and goals of the negotiations;
Comments: The European Commission's intention to ignore the outcome of the ISDS	

Comments: The European Commission's intention to ignore the outcome of the ISDS consultation demands a robust response. See also comment 31.

#### Amendment 33 Virginie Rozière

++ Paragraph 1 – point a a (new)	
Draft opinion	Amendment
	aa. Stresses that the democratic legitimacy of the EU's trade policy needs to be strengthened; calls on the Commission to take account of responses to the public consultation it conducted and especially the 97 % of responses opposed to an ISDS;

Comments: We welcome the proposed amendment as it points towards the huge amount of negative responses on the public consultation on ISDS, it is important to stress that the opinion of citizens should be taken into account. It adds to democratic legitimacy.

#### Amendment 34 Virginie Rozière

++ Paragraph 1 – point b	
Draft opinion	Amendment
b. Observes that the reforms incorporated in CETA for mechanisms for the settlement of disputes between States and investors represent the right approach and must be developed further for TTIP;	deleted

Comments: We welcome the proposed amendment. We note that the dispute settlement proposals for CETA lack conventional institutional safeguards for independence such as tenure, fixed salary and prohibition of outside remuneration. Institutional safeguards for independence are essential to avoid reasonably perceived bias. The consultation launched by the European Commission was based on the CETA text. In this sense, over 110 scholars submitted a joint response offering a long list of flaws (cf. <a href="https://www.kent.ac.uk/law/isds\_treaty\_consultation.html">https://www.kent.ac.uk/law/isds\_treaty\_consultation.html</a>) of that approach. More recently, more than 100 US legal scholars urged the US government to exclude ISDS from both the

TTIP and the TPP (cf. <a href="http://www.afj.org/press-room/press-releases/more-than-100-legal-scholars-call-on-congress-administration-to-protect-democracy-and-sovereignty-in-u-s-trade-deals">http://www.afj.org/press-room/press-releases/more-than-100-legal-scholars-call-on-congress-administration-to-protect-democracy-and-sovereignty-in-u-s-trade-deals</a>)

### Amendment 35 Kostas Chrysogonos, Jiří Maštálka

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Paragraph 1 – point b		
Draft opinion		Amendment
b. Observes that the reforms incorporated	deleted	
in CETA for mechanisms for the		
settlement of disputes between States and		
investors represent the right approach		
and must be developed further for TTIP;		
Comments: Same as above.		

#### Amendment 36 Heidi Hautala, Pascal Durand

++ Paragraph 1 – point b		
Draft opinion		Amendment
b. Observes that the reforms incorporated in CETA for mechanisms for the settlement of disputes between States and investors represent the right approach and must be developed further for TTIP;	deleted	
Comments: See comments to amendment 34.		

#### **Amendment 37 Evelyne Gebhardt**

Paragraph 1 – point b	
Draft opinion	Amendment
b. Observes that the <i>reforms incorporated</i>	b. Observes that the <i>establishment of an</i>
in CETA for mechanisms for the	international commercial court, to which
settlement of disputes between States and	independent judges would be appointed,
investors represent the right approach	is more in accordance with the principle
and must be developed further for TTIP;	of the administration of justice in the
, and the second of the second	name of the people, the rule of law and
	transparency of the law than private
	investor state dispute settlement
	mechanisms;

Comments: The proposed amendment asks for the establishment of an international commercial court. There are other effective means to solve trade issues rather than an international commercial court, such as state-to-state dispute settlement which would not create a supranational commercial court. There is also an unsurprising natural tendency for specialised courts tend to become biased, facilitated by the fact that supranational courts do not have a workable legislative feedback loop. See: <a href="http://www.euractiv.com/sections/trade-society/international-investment-court-plan-threatens-our-democracy-313179">http://www.euractiv.com/sections/trade-society/international-investment-court-plan-threatens-our-democracy-313179</a>

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Paragraph 1 – point b		
Draft opinion	Amendment	
b. Observes that the reforms incorporated	b. Observes that the reforms incorporated	
in CETA for mechanisms for the settlement	in CETA for mechanisms for the settlement	
of disputes between States and investors	of disputes between States and investors	
represent the right approach and must be	are welcome and represent the right	
developed further for TTIP;	approach in clarifying issues relating to	
-	the right to regulate, the functioning	
	of arbitral panels as well as leaving open	
	the possibility of an appeals	
	<i>mechanism</i> and must be developed further	
	for TTIP; urges the Commission to	
	ensure full disclosure and regular	
	communication concerning the	
	development-process of said reforms;	
Comments: The only good point is the last per	t of the amendment. The amendment does not	



Comments: The only good point is the last part of the amendment. The amendment does not improve the recital. The reform does not represent the right approach for CETA, nor does it for TTIP. The approach in CETA is fundamentally flawed, see the Statement of Concern published by over 110 scholars: <a href="http://www.kent.ac.uk/law/isds">http://www.kent.ac.uk/law/isds</a> treaty consultation.html .For further comments: see amendment 34.

#### Amendment 39 Virginie Rozière

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Paragraph 1 – point b		
Draft opinion	Amendment	
b. Observes that the reforms incorporated	b. Observes that <i>treating local and foreign</i>	
in CETA for mechanisms for the settlement	investors equitably is not possible under	
of disputes between States and investors	the reforms incorporated in CETA for	
represent the right approach and must be mechanisms for the settlement of disputes		
developed further for TTIP; between States and investors;		
Comments: Indeed. The reforms in CETA were flawed, as the ISDS public consultation		
reflected. For more information about the flaws, please see		
http://www.kent.ac.uk/law/isds_treaty_consultation.html and		



https://edri.org/wp-content/uploads/2014/07/EDRi response ISDS Consultation.pdf.

#### Amendment 40 Lidia Joanna Geringer de Oedenberg

++ Paragraph 1 – point b		
Draft opinion	Amendment	
b. Observes that the reforms incorporated	b. Observes that the reforms incorporated	
in CETA for mechanisms for the settlement	in CETA for mechanisms for the settlement	
of disputes between States and investors	of disputes between States and investors	
represent the right approach and must be	significantly differ from those presented	
developed further for TTIP;	in TTIP negotiations thus far and should not determine the course of future	
Comments: CETA should not be a model for fu	negotiations;	

++ Paragraph 1 – point b		
Draft opinion	Amendment	
b. Observes that the reforms incorporated	b. Observes that the reforms incorporated	
in CETA for mechanisms for the settlement	in CETA for mechanisms for the settlement	
of disputes between States and investors	of disputes between States and investors <i>do</i>	
represent the right approach and <i>must be</i>	<i>not</i> represent the right approach and <i>are</i>	
developed further for TTIP;	therefore superfluous for TTIP;	
represent the right approach and must be	<i>not</i> represent the right approach and <i>are</i>	

Comments: The dispute settlement proposals for CETA lack conventional institutional safeguards for independence such as tenure, fixed salary and prohibition of outside remuneration. Institutional safeguards for independence are essential to avoid reasonably perceived bias. It is important to bear in mind that the consultation launched by the European Commission was based on the CETA text.

#### Amendment 42 Jiří Maštálka, Kostas Chrysogonos

++ Paragraph 1 – point b a (new)		
Draft opinion	Amendment	
	ba. Calls on the Commission to make publicly accessible the consolidated text versions combining EU and US positions on draft chapters and thereby ensure the equal access to information for all interested stakeholders during all stages of the negotiations;	
Comments: This amendment should be supported as it is in line with the European		
Ombudsman's recommendations of 6 January 2015.		

#### Amendment 43 Heidi Hautala, Pascal Durand

++		
Paragraph 1 – point b a (new)		
Draft opinion	Amendment	
	ba. Observes that to ensure that foreign investors are treated in a non-discriminatory fashion and have a fair	
	opportunity to seek and achieve redress of grievances can be achieved without the	
	inclusion in TTIP of investment protection standards and an ISDS	
	mechanism; is of the firm opinion that a possible TTIP agreement should not	
	contain any investment protection standards and ISDS mechanism as the	
	given level of investment protection in the	
	EU and the US is fully sufficient to	
	guarantee legal security;	
Comments: We welcome this amendme	ent ISDS is not essential for TTIP negotiations and can	

Comments: We welcome this amendment. ISDS is not essential for TTIP negotiations and can actually compromise the smoothness of the negotiations.

# Amendment 44 Kostas Chrysogonos, Jiří Maštálka

++ Paragraph 1 – point c	
Draft opinion	Amendment
c. Observes that existing dispute settlement mechanisms work well but also display weaknesses and that therefore improvements are needed and they must be modernised in order to improve their legitimacy and the institutionalisation of mechanisms for the settlement of disputes between States and investors, so that they can then also be taken as a model for other partnerships;	deleted

Comments: This paragraph should be deleted. ISDS places companies at the same level as states and gives foreign investors greater procedural rights than local investors.

### Amendment 45 Heidi Hautala, Pascal Durand

++ Paragraph 1 – point c		
Draft opinion	Amendment	
c. Observes that existing dispute settlement mechanisms work well but also display weaknesses and that therefore improvements are needed and they must be modernised in order to improve their legitimacy and the institutionalisation of mechanisms for the settlement of disputes between States and investors, so that they can then also be taken as a model for other partnerships;	deleted	

# Amendment 46 Evelyne Gebhardt

+		
Paragraph 1 – point c		
Draft opinion	Amendment	
c. Observes that existing dispute settlement mechanisms work well but also display weaknesses and that therefore improvements are needed and they must be modernised in order to improve their legitimacy and the institutionalisation of mechanisms for the settlement of disputes between States and investors, so that they can then also be taken as a model for other partnerships;	c. Observes that existing dispute settlement mechanisms display weaknesses, because both their legitimacy and acceptance of them are insufficiently developed;	

#### Amendment 47 Lidia Joanna Geringer de Oedenberg

Paragraph 1 – point c	
Draft opinion  c. Observes that existing dispute settlement mechanisms work well but also display weaknesses and that therefore improvements are needed and they must be modernised in order to improve their legitimacy and the institutionalisation of mechanisms for the settlement of disputes between States and investors, so that they can then also be taken as a model for	Amendment  c. Observes that existing dispute settlement mechanisms in countries where there is no risk of political interference in the justice system or legal protection system are operating smoothly and that there is consequently no need to introduce ISDS;
other partnerships;	



ISDS is not essential for TTIP and its inclusion may put the whole agreement at risk.

#### Amendment 48 Virginie Rozière

++	
Paragraph 1 – point c	
Amendment	
c. <i>Considers</i> that existing dispute settlement mechanisms display <i>a great</i>	
many weaknesses;	



Comments: Over 110 scholars provided a long list of flaws (cf. <a href="https://www.kent.ac.uk/law/isds\_treaty\_consultation.html">https://www.kent.ac.uk/law/isds\_treaty\_consultation.html</a>). More recently, more than 100 US legal scholars urged the US government to exclude ISDS from both the TTIP and the TPP (cf. http://www.afj.org/press-room/press-releases/more-than-100-legal-scholars-call-on-congressadministration-to-protect-democracy-and-sovereignty-in-u-s-trade-deals).

#### Amendment 49 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

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Paragraph 1 – point c	
Draft opinion	Amendment
c. Observes that existing dispute settlement mechanisms work well but also display weaknesses and that therefore improvements are needed and they must be modernised in order to improve their legitimacy and the institutionalisation of	c. Observes that existing dispute settlement mechanisms display <i>serious</i> weaknesses <i>in terms of both procedure and substance</i> ;

mechanisms for the settlement of disputes between States and investors, so that they can then also be taken as a model for other partnerships;

Comments: Indeed. See previous comments.

#### Amendment 50 Angelika Niebler

Paragraph 1 – point c	
Draft opinion	Amendment
c. Observes that existing dispute settlement	c. Observes that existing dispute settlement
mechanisms work well but also display	mechanisms do indeed work but
weaknesses and that therefore	nonetheless display weaknesses and that
improvements are needed and they must be	therefore <i>comprehensive</i> improvements are
modernised in order to improve their	urgently needed and they must be
legitimacy and the institutionalisation of	modernised in order to improve their
mechanisms for the settlement of disputes	legitimacy and the institutionalisation of
between States and investors, so that they	mechanisms for the settlement of disputes
can then also be taken as a model for other	between States and investors, so that they
partnerships;	can then also be taken as a model for other
	partnerships;
Comments: Although the amendment slightly improves the original wording, the amendment	
does not achieve what the EU needs: No ISDS.	

### Amendment 51 Angel Dzhambazki, Sajjad Karim

	1 – point c
Draft opinion	Amendment
c. Observes that existing dispute settlement	c. Observes that existing dispute settlement
mechanisms work well but also display	mechanisms work well, <i>providing</i>
weaknesses and that therefore	investors with a means to ensure state
improvements are needed and they must be	compliance under international law, but
modernised in order to improve <i>their</i>	significant improvements are needed in
legitimacy and the institutionalisation of	terms of precise legal drafting which must
mechanisms for the settlement of disputes	be modernised in order to improve <i>the</i>
between States and investors, so that they	legitimacy and the institutionalisation of
can then also be taken as a model for other	mechanisms for the settlement of disputes
partnerships;	between States and investors, so that they
-	can then also be taken as a model for other
	partnerships;
Comments: The flaws of ISDS are not related to legal uncertainty. ISDS is not needed.	

#### Amendment 52 Heidi Hautala, Pascal Durand

++	
Paragraph 1 – point c a (new)	
Draft opinion	Amendment
	ca. Calls on to Commission to oppose the
	inclusion of an ISDS mechanism in TTIP
	given the EU's and the United States'

developed legal syst	ems and that a state-
to-state dispute settl	ement system, and the
use of national lega	l and judicial systems
are the most approp	riate tools to address
investment disputes,	•

Comments: This amendment should be supported.

#### Amendment 53 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

++		
Paragraph 1 – point d		
Draft opinion	Amendment	
d. Calls on the Commission, in this	deleted	
context, to take account of and to		
supplement, firstly, the constructive		
contributions made by the public		
consultation on TTIP, and, secondly, the		
dispute settlement mechanisms		
incorporated in CETA, in order to		
establish clear structures, impartial		
procedures, a lawful pool of judges		
selected by States and a code of conduct		
for judges, to increase the transparency		
and legitimacy of such dispute settlement		
procedures, to limit the scope for legal		
action in order to prevent forum		
shopping, to maintain the democratic		
legitimacy of national and European		
legislatures for amendments to legislation		
with defined standards and levels and to		
assess the feasibility of establishing a		
permanent court and a multilateral		
appeal system in TTIP;		



Comments: the reforms in CETA are not sufficient, as the public consultation revealed. We stress that conventional institutional safeguards of independence, such as tenure, fixed salary, prohibition of outside remuneration, and neutral appointment of cases; avoidance of financial interests, avoidance of procedural rules which give the US an unfair advantage and avoidance of procedural advantages for foreign investors, are essential to avoid the perception of bias.

#### Amendment 54 Virginie Rozière

++ Paragraph 1 – point d	
Draft opinion	Amendment
d. Calls on the Commission, in this	d. Calls on the Commission <i>not</i> to
context, to take account of and to	establish a dispute settlement mechanism;
supplement, firstly, the constructive	
contributions made by the public	
consultation on TTIP, and, secondly, the	
dispute settlement <i>mechanisms</i>	
incorporated in CETA, in order to	
establish clear structures, impartial	

procedures, a lawful pool of judges selected by States and a code of conduct for judges, to increase the transparency and legitimacy of such dispute settlement procedures, to limit the scope for legal action in order to prevent forum shopping, to maintain the democratic legitimacy of national and European legislatures for amendments to legislation with defined standards and levels and to assess the feasibility of establishing a permanent court and a multilateral appeal system in TTIP;

Comments: Investment contracts between States and investors already contain their own dispute settlement mechanism. In addition, the national and EU judicial systems are prepared to issue rulings on investment protection already.

#### Amendment 55 Heidi Hautala, Pascal Durand

	<u> </u>	
+ Paragraph 1 – point d		
Draft opinion	Amendment	
d. Calls on the Commission, in this	d. Calls on the Commission, in this	
context, to take account of and to	context, to take account firstly the	
supplement, firstly, the constructive	contributions made by the public	
contributions made by the public	consultation on TTIP and, secondly, not to	
consultation on TTIP, and, secondly, <i>the</i>	support the inclusion of any kind of	
dispute settlement mechanisms	investor-state dispute settlement (ISDS),	
incorporated in CETA, in order to	and thus maintain the $EU$ 's institutional	
establish clear structures, impartial	and judicial framework; to work towards	
procedures, a lawful pool of judges	producing a permanent solution for	
selected by States and a code of conduct	resolving disputes between investors and	
for judges, to increase the transparency	states under trade agreements, for	
and legitimacy of such dispute settlement	example through the creation of a	
procedures, to limit the scope for legal	permanent multilateral court of judges;	
action in order to prevent forum		
shopping, to maintain the democratic		
legitimacy of national and European		
legislatures for amendments to legislation		
with defined standards and levels and to		
assess the feasibility of establishing a		
permanent <i>court and a</i> multilateral <i>appeal</i>		
system in TTIP;		



Comments: The amendment is good, but suggesting the creation of a specialised court may be risky: https://blog.ffii.org/international-investment-court-plan-threatens-our-democracy/.

#### Amendment 56 Constance Le Grip

	-	
Paragraph 1 – point d		
Draft opinion	Amendment	
d. Calls on the Commission, in this	d. Calls on the Commission, in this	

context, to take account of and to supplement, firstly, the constructive contributions made by the public consultation on TTIP, and, secondly, the dispute settlement mechanisms incorporated in CETA, in order to establish clear structures, impartial procedures, a lawful pool of judges selected by States and a code of conduct for judges, to increase the transparency and legitimacy of such dispute settlement procedures, to limit the scope for legal action in order to prevent forum shopping, to maintain the democratic legitimacy of national and European legislatures for amendments to legislation with defined standards and levels and to assess the feasibility of establishing a permanent court and a multilateral appeal system in TTIP;

context, to take account of and to supplement, firstly, the constructive contributions made by the public consultation on TTIP, and, secondly, the dispute settlement mechanisms incorporated in CETA, in order to establish clear structures and rules, impartial procedures, a lawful pool of judges selected by States and a code of conduct for judges, to increase the transparency and legitimacy of such dispute settlement procedures, to limit the scope for legal action in order to prevent forum shopping and action through the use of mailbox companies, to maintain the democratic legitimacy of national and European legislatures for amendments to legislation with defined standards and levels and to foresee an appeal system and to this regard to assess the feasibility of establishing a permanent court and a multilateral appeal system in TTIP;



Comments: the reforms introduced are not sufficient. The European Commission launched a consultation on ISDS based on the CETA text. 97% of the respondents asked the Commission to exclude ISDS from TTIP. Why is the Commission not respecting the results and why would the Parliament endorse such a position?

#### Amendment 57 Angelika Niebler

# Paragraph 1 – point d

Draft opinion

d. Calls on the Commission, in this context, to take account of and to supplement, firstly, the *constructive* contributions made by the public consultation on TTIP, and, secondly, the dispute settlement mechanisms incorporated in CETA, in order to establish clear structures, impartial procedures, a lawful pool of judges selected by States and a code of conduct for judges, to increase the transparency and legitimacy of such dispute settlement procedures, to limit the scope for legal action in order to prevent forum shopping, to maintain the democratic legitimacy of national and European legislatures for amendments to legislation with defined standards and levels and to assess the feasibility of establishing a permanent court and a multilateral appeal system in TTIP;

#### Amendment

d. Calls on the Commission, in this context, to take account of and to supplement, firstly, the contributions made by the public consultation on TTIP, and, secondly, the dispute settlement mechanisms incorporated in CETA, in order to establish clear structures, impartial procedures, a lawful pool of judges selected by States and a code of conduct for judges, to increase the transparency and legitimacy of such dispute settlement procedures, to limit the scope for legal action in order to prevent forum shopping, not to bypass national and European legislation by means of international tribunals, and to assess the feasibility of establishing a permanent court and a multilateral appeal system in TTIP;



Comments: This amendment fails to address the real problem: ISDS is not essential and its inclusion may compromise the negotiations.

#### Amendment 58 Kostas Chrysogonos, Jiří Maštálka

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Paragraph 1 – point d	
Draft opinion	Amendment
d. Calls on the Commission, in this	d. Calls on the Commission, in this
context, to take account of and to	context, to take account of and to
supplement, <i>firstly</i> , the constructive	supplement the constructive contributions
contributions made by the public	made by the public consultation in order to
consultation on TTIP, and, secondly, the	increase the transparency of negotiations
dispute settlement mechanisms	and maintain the democratic legitimacy of
incorporated in CETA, in order to	national and European legislatures for
establish clear structures, impartial	amendments to legislation with defined
procedures, a lawful pool of judges	standards and levels;
selected by States and a code of conduct	
for judges, to increase the transparency	
and legitimacy of such dispute settlement	
procedures, to limit the scope for legal	
action in order to prevent forum	
shopping, to maintain the democratic	
legitimacy of national and European	
legislatures for amendments to legislation	
with defined standards and levels and to	
assess the feasibility of establishing a	
permanent court and a multilateral	
appeal system in TTIP;	
Comments: This amendment is welcomed and	should be supported. It minimises the changes

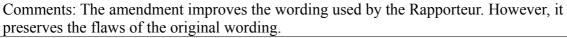


Comments: This amendment is welcomed and should be supported. It minimises the changes to the Rapporteur's original draft.

### Amendment 59 Angel Dzhambazki, Sajjad Karim

Paragraph 1 – point d		
Draft opinion	Amendment	
d. Calls on the Commission, in this	d. Calls on the Commission, in this	
context, to take account of and to	context, to take account of and to	
supplement, firstly, the constructive	supplement, firstly, the constructive	
contributions made by the public	contributions made by the public	
consultation on TTIP, and, secondly, the	consultation on TTIP, and, secondly, the	
dispute settlement mechanisms	dispute settlement mechanisms	
incorporated in CETA, in order to establish	incorporated in CETA, in order to establish	
clear structures, impartial procedures, a	clear structures, impartial procedures, a	
lawful pool of judges selected by States	lawful and balanced pool of judges	
and a code of conduct for judges, to	selected by States and a <i>clear</i> code of	
increase the transparency and legitimacy of	conduct for judges, to increase the	
such dispute settlement procedures, to limit	transparency, legitimacy and neutrality of	
the scope for legal action in order to	such dispute settlement procedures, to limit	
prevent forum shopping, to maintain the	the scope for legal action in order to	
democratic legitimacy of national and	prevent forum shopping, to maintain the	

European legislatures for amendments to legislation with defined standards and levels and to assess the feasibility of establishing a permanent court and a multilateral appeal system in TTIP; democratic legitimacy of national and European legislatures for amendments to legislation with defined standards and levels and to assess the feasibility of establishing a permanent court and a multilateral appeal system in TTIP;



#### Amendment 60 Lidia Joanna Geringer de Oedenberg

	-	
Paragraph 1 – point d		
Draft opinion	Amendment	
d. Calls on the Commission, in this	d. Calls on the Commission, in this	
context, to take account of and to	context, to take account of and to	
supplement, firstly, the constructive	supplement, firstly, the constructive	
contributions made by the public	contributions made by the public	
consultation on TTIP, and, secondly, the	consultation on TTIP, in which 97% of the	
dispute settlement mechanisms	almost 150 000 people polled in the 28	
incorporated in CETA, in order to	Member States stated that they were	
establish clear structures, impartial	opposed to ISDS in its current form, in	
procedures, a lawful pool of judges	order to establish clear structures, impartial	
selected by States and a code of conduct	procedures, a lawful pool of judges	
for judges, to increase the transparency and	selected by States and a code of conduct	
legitimacy of such dispute settlement	for judges, to increase the transparency and	
procedures, to limit the scope for legal	legitimacy of such dispute settlement	
action in order to prevent forum shopping,	procedures, to limit the scope for legal	
to maintain the democratic legitimacy of	action in order to prevent forum shopping,	
national and European legislatures for	to maintain the democratic legitimacy of	
amendments to legislation with defined	national and European legislatures for	
standards and levels and to assess the	amendments to legislation with defined	
feasibility of establishing a permanent	standards and levels and to assess the	
court and a multilateral appeal system in	feasibility of establishing a permanent	
TTIP;	court and a multilateral appeal system in	
	TTIP;	
Comments: The regults of the most enswered or	angultation over appaged to the inclusion of	

Comments: The results of the most answered consultation ever opposed to the inclusion of ISDS in TTIP, indeed. The European Commission should not supplement the views of the public, but to respect them and the Parliament should support the citizens it represents. The reference to "current form" suggests that respondents could agree with reformed ISDS, despite the inherent flaws of this approach.

#### **Amendment 61 Daniel Buda**

- Paragraph 1 – point d		
Draft opinion	Amendment	
d. Calls on the Commission, in this	d. Calls on the Commission, in this	
context, to take account of and to	context, to take account of and to	
supplement, firstly, the constructive	supplement, firstly, the constructive	
contributions made by the public	contributions made by the public	
consultation on TTIP, and, secondly, the	consultation on TTIP, and, secondly, the	

dispute settlement mechanisms incorporated in CETA, in order to establish clear structures, impartial procedures, a lawful pool of judges selected by States and a code of conduct for judges, to increase the transparency and legitimacy of such dispute settlement procedures, to limit the scope for legal action in order to prevent forum shopping, to maintain the democratic legitimacy of national and European legislatures for amendments to legislation with defined standards and levels and to assess the feasibility of establishing a permanent court and a multilateral appeal system in TTIP;

dispute settlement mechanisms incorporated in CETA, in order to establish clear structures, impartial procedures, a lawful pool of judges or, where appropriate, arbitrators, with high ethical and professional standards and a good reputation, selected by States, and a code of conduct for judges or, where appropriate, arbitrators, to increase the transparency and legitimacy of such dispute settlement procedures, to limit the scope for legal action in order to prevent forum shopping, to maintain the democratic legitimacy of national and European legislatures for amendments to legislation with defined standards and levels and to assess the feasibility of establishing a permanent court and a multilateral appeal system in TTIP;

Comments: This amendment does not address the problems associated with ISDS.

#### Amendment 62 Kostas Chrysogonos, Jiří Maštálka

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Paragraph 1 – point e		
Draft opinion	Amendment	
e. Calls on the Commission to ensure that	deleted	
investors from the EU are not		
disadvantaged in the USA, including in		
relation to investors from other third		
States (such as Canada, Mexico, China,		
India and TPP States), which already		
now, or in future on the basis of		
negotiations currently under way, enjoy		
investor protection and have access to		
mechanisms for the settlement of disputes		
between States and investors;		
Comments: ISDS does not avoid reasonably personably per		

Comments: ISDS does not avoid reasonably perceived bias. The paragraph as it was would also invoke reciprocal possibilities against the EU.

#### Amendment 63 Virginie Rozière

++			
Paragraph	Paragraph 1 – point e		
Draft opinion		Amendment	
e. Calls on the Commission to ensure that investors from the EU are not disadvantaged in the USA, including in relation to investors from other third States (such as Canada, Mexico, China, India and TPP States), which already now, or in future on the basis of	deleted		

negotiations currently under way, enjoy	
investor protection and have access to	
mechanisms for the settlement of disputes	
between States and investors;	
Comments: Same as above	

#### Amendment 64 Heidi Hautala, Pascal Durand

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Paragraph 1 – point e		
Draft opinion	Amendment	
e. Calls on the Commission to ensure that investors from the EU are not disadvantaged in the USA, including in relation to investors from other third States (such as Canada, Mexico, China, India and TPP States), which already now, or in future on the basis of negotiations currently under way, enjoy investor protection and have access to mechanisms for the settlement of disputes between States and investors;	deleted	
Comments: See comments to amendment 62.		

Amendment 65 Dietmar Köster, Evelyn Regner, Sergio Gaetano Cofferati, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt, Virginie Rozière, Jude Kirton-Darling, Jörg Leichtfried, Eric Andrieu, Mary Honeyball

Paragraph 1 – point e		
Amendment		
e. Calls on the Commission to ensure that		
foreign investors are treated in a non-		
discriminatory fashion and have a fair opportunity to seek and achieve redress of grievances, while benefiting from no greater rights than domestic investors; to oppose the inclusion of ISDS in TTIP, as other options to enforce investment protection are available, such as domestic remedies;		
1		



therefore welcome this amendment.

# Amendment 66 József Szájer

+		
Paragraph 1 – point e		
Draft opinion	Amendment	
e. Calls on the Commission to ensure that	e. Calls on the Commission to ensure that	
investors from the EU are not	investors from the EU are not	

disadvantaged in the USA, including in relation to investors from other third States (such as Canada, Mexico, China, India and TPP States), which already now, or in future on the basis of negotiations currently under way, enjoy investor protection and have access to mechanisms for the settlement of disputes between States and investors;

disadvantaged in the USA, including in relation to investors from other third States (such as Canada, Mexico, China, India and TPP States), which already now, or in future on the basis of negotiations currently under way, enjoy investor protection and have access to mechanisms for the settlement of disputes between States and investors; therefore to prepare a report of concrete problems experienced by European investors in the US where the lack of transatlantic investor-state dispute settlement possibilities prevented an effective solution or resulted in a less favourable situation of European investors vis-à-vis investors of third countries having such an instrument at their disposal; to keep TTIP negotiations on investor-state dispute settlement suspended until specific proposals that duly reflect the results of the public consultation conducted on this subject are developed; and to develop these specific proposals in close consultation with the European Parliament;



Comments: This amendment proposes interesting short term solutions. However, it doesn't address the core problems. ISDS does not avoid reasonably perceived bias. The paragraph as it was would also invoke reciprocal possibilities against the EU.

#### Amendment 67 Daniel Buda

#### Paragraph 1 – point e Draft opinion Amendment e Calls on the Commission to ensure that e Calls on the Commission to ensure that investors from the EU are not investors from the EU are not disadvantaged in the USA, including in disadvantaged in the USA, including in relation to investors from other third States relation to investors from other third States (such as Canada, Mexico, China, India and (such as Canada, Mexico, China, India and TPP States), which already now, or in TPP States), which already now, or in future on the basis of negotiations currently future on the basis of negotiations currently under way, enjoy investor protection and under way, enjoy investor protection and have access to mechanisms for the have access to mechanisms for the settlement of disputes between States and settlement of disputes between States and investors; investors; in accordance with the reciprocity principle, the Commission must also guarantee the same rights for investors from the USA;



Comments: Both foreign and domestic investors must be treated equally. However, keeping the original wording is not helpful. ISDS does not avoid reasonably perceived bias. If adopted, this paragraph would also invoke reciprocal possibilities against the EU.

# Amendment 68 Therese Comodini Cachia

-	+	
Paragraph 1 – point e		
Draft opinion	Amendment	
e. Calls on the Commission to ensure that investors from the EU are not disadvantaged in the USA, including in relation to investors from other third States (such as Canada, Mexico, China, India and TPP States), which already now, or in future on the basis of negotiations currently under way, enjoy investor protection and have access to mechanisms for the settlement of disputes between States and investors;	e. Calls on the Commission to ensure that investors from the EU are not disadvantaged in the USA, including in relation to investors from other third States (such as Canada, Mexico, China, India and TPP States), which already now, or in future on the basis of negotiations currently under way, enjoy investor protection and have access to mechanisms for the settlement of disputes between States and investors, therefore to prepare a report of concrete problems experienced by European investors in the US where the lack of transatlantic investor-state dispute settlement possibilities prevented an effective solution or resulted in a less favourable situation of European investors vis-à-vis investors of third countries having such an instrument at their disposal; to keep TTIP negotiations on investor-state dispute settlement suspended until developing specific proposals that duly reflect the results of the public consultation conducted on this subject; and to develop these specific proposals in close consultation with the European Parliament;	
Comments: See comments to amendment 66.		

# Amendment 69 Constance Le Grip

Paragraph 1 – point e	
Draft opinion	Amendment
e. Calls on the Commission to ensure that	e. Calls on the Commission to ensure that
investors from the EU are not	investors from the EU, including
disadvantaged in the USA, including in	<i>SMEs</i> , are not disadvantaged in the USA,
relation to investors from other third States	including in relation to investors from
(such as Canada, Mexico, China, India and	other third States (such as Canada, Mexico,
TPP States), which already now, or in	China, India and TPP States), which
future on the basis of negotiations currently	already now, or in future on the basis of
under way, enjoy investor protection and	negotiations currently under way, enjoy
have access to mechanisms for the	investor protection and have access to
settlement of disputes between States and	mechanisms for the settlement of disputes
investors;	between States and investors;

#### Amendment 70 Heidi Hautala, Pascal Durand

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Paragraph 1 – point e a (new)		
Draft opinion	Amendment	
	ea. Calls on the Commission to refer the	
	matter to the CJEU for its opinion on the	
	compatibility of the TTIP Agreement and	
	more specifically on the investor-state	
	dispute settlement (ISDS) with Union law,	
	before submitting it for approval pursuant	
	to Article 218(11) TFEU;	

Comments: The compatibility of ISDS with the treaties is not clear, namely as regards Article 344 TFEU and its interpretation by the CJEU in its Opinion 2/13. The study conducted by Mr *Stian Øby Johansen* explaints it in greater detail:

https://www.germanlawjournal.com/index.php?pageID=11&artID=1671. Also, this study can contribute to the understanding of the issues: <a href="http://blog.campact.de/wp-content/uploads/2014/12/Gutachten CETA">http://blog.campact.de/wp-content/uploads/2014/12/Gutachten CETA</a> engl final 27112014.pdf.

#### Amendment 71 Jiří Maštálka, Kostas Chrysogonos

l – point f
4 1 ,
Amendment
f. Calls on the Commission to <i>reject the</i>
ISDS dispute settlement mechanism, since
it would de facto lead to justice being
privatised and would undermine the right
of the competent authorities to regulate by
exposing them to the threat of legal
proceedings by private investors and it
would threaten legal certainty of public
contracts in the EU;
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#### Amendment 72 Angel Dzhambazki, Sajjad Karim

+				
Paragraph 1 – point f				
Draft opinion	Amendment			
f. Calls on the Commission to ensure that	f. Calls on the Commission to ensure that			
in the future dispute settlement mechanism	in the future dispute settlement mechanism			
in TTIP it is guaranteed that decisions on	in TTIP it is guaranteed that decisions on			
individual cases will not replace the	individual cases will not replace the			
national law of the contracting parties	national law of the contracting parties			
which is in force or render it ineffective,	which is in force; or undermine any			
and that amendments by future	fundamental principle or protective			
legislation – provided that they are not	standard guaranteed under European			
made retroactive – cannot be contested	and International law;			
under such a dispute settlement				

#### mechanism;



Comments: the amendment improves the original wording, but the safeguard proposed is too broad and unclear.

# **Amendment 73 Kostas Chrysogonos**

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Paragraph 1 – point f				
Draft opinion	Amendment			
f. Calls on the Commission to ensure that	f. Calls on the Commission to ensure			
in the future dispute settlement mechanism	that, if a dispute settlement mechanism is			
in TTIP it is guaranteed that decisions on	adopted, its decisions on individual cases			
individual cases will not replace the	will not replace the national law of the			
national law of the contracting parties	contracting parties which is in force or			
which is in force or render it ineffective,	render it ineffective, and that amendments			
and that amendments by future legislation	by future legislation – provided that they			
– provided that they are not made	are not made retroactive – cannot be			
retroactive – cannot be contested under	contested under such a dispute settlement			
such a dispute settlement mechanism;	mechanism;			
Comments: this amendment leaves opened a possibility for further discussions.				

# Amendment 74 Virginie Rozière

++				
Paragraph 1 – point f				
Draft opinion	Amendment			
f. Calls on the Commission to ensure <i>that</i>	f. Calls on the Commission to ensure it is			
in the future dispute settlement	guaranteed that decisions on individual			
mechanism in TTIP it is guaranteed that	cases will not replace European Union			
decisions on individual cases will not	<i>law or</i> the national law of the contracting			
replace the national law of the contracting	parties which is in force or render <i>them</i>			
parties which is in force or render <i>it</i>	ineffective, and that amendments by future			
ineffective, and that amendments by future	legislation – provided that they are not			
legislation – provided that they are not	made retroactive – cannot be contested			
made retroactive – cannot be contested	under such a dispute settlement			
under such a dispute settlement	mechanism;			
mechanism;				
Comments: A trade agreement must not rewrite or replace EU or national legislation.				

# Amendment 75 Heidi Hautala, Pascal Durand

++				
Paragraph 1 – point f				
Draft opinion	Amendment			
f. Calls on the Commission to ensure that	f. Calls on the Commission to ensure that			
in the future dispute settlement	in TTIP it is guaranteed that decisions on			
<i>mechanism in</i> TTIP it is guaranteed that	individual cases will not replace the			
decisions on individual cases will not	national law of the contracting parties			
replace the national law of the contracting	which is in force or render it ineffective,			
parties which is in force or render it	and that amendments by future legislation			
ineffective, and that amendments by future	– provided that they are not made			
legislation – provided that they are not	retroactive – cannot be contested under			
made retroactive – cannot be contested	any TTIP provisions;			

under	such a disput	te settl	lement					
mech	anism;							
	1 *	1	. 11	1 11	C	1	 	



Comments: this amendment adds a valuable safeguard.

# Amendment 76 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

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Paragraph 1 – point f				
Draft opinion	Amendment			
f. Calls on the Commission to ensure that	f. Calls on the Commission to ensure that			
in the future dispute settlement <i>mechanism</i>	in the <i>case of</i> future dispute settlement			
in TTIP it is guaranteed that decisions on	mechanisms in CETA and TTIP and their			
individual cases will not replace the	administrative operation it is guaranteed			
national law of the contracting parties	that decisions on individual cases will not			
which is in force or render it ineffective,	replace the national law of the contracting			
and that amendments by future legislation	parties which is in force or render it			
– provided that they are not made	ineffective, and that amendments by future			
<i>retroactive</i> – cannot be contested under	legislation cannot be contested under such			
such a dispute settlement mechanism;	a dispute settlement mechanism;			
Comments: this is a very important safeguard.				

#### **Amendment 77 Daniel Buda**

+				
Paragraph 1 – point f				
Draft opinion	Amendment			
f. Calls on the Commission to ensure that	f. Calls on the Commission to ensure that			
in the future dispute settlement mechanism	in the future dispute settlement mechanism			
in TTIP it is guaranteed that decisions on	in TTIP it is guaranteed that decisions on			
individual cases will not replace the	individual cases will not replace the			
national law of the contracting parties	national law of the contracting parties			
which is in force or render it ineffective,	which is in force, which must be in line			
and that amendments by future legislation	with international legislative acts, or			
<ul> <li>provided that they are not made</li> </ul>	render it ineffective, and that amendments			
retroactive – cannot be contested under	by future legislation – provided that they			
such a dispute settlement mechanism;	are not made retroactive – cannot be			
_	contested under such a dispute settlement			
	mechanism;			
Comments: TTIP must be in line with Internation	onal law, but most importantly, TTIP should			
not create locks-in for EU law.				

# Amendment 78 Jiří Maštálka, Kostas Chrysogonos

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Paragraph 1 – point g			
Draft opinion Amendment			
g. Calls on the Commission to ensure that clearly defined rules on regulatory coherence are comprehensively incorporated in TTIP;	g. Urges the Commission to ensure that the revision clause is included in the agreement to enable the impact of the arrangements agreed to be checked and where necessary changed and to be able to terminate the agreement;		



Comments: This is important to make sure impact assessments on whether obligations and rights under the TTIP are being respected.

### Amendment 79 Angel Dzhambazki, Sajjad Karim

+			
Paragraph 1 – point g			
Draft opinion	Amendment		
g. Calls on the Commission to ensure that	g. Calls on the Commission to ensure that		
clearly defined rules on regulatory	clearly defined rules on regulatory		
coherence are comprehensively	cooperation and coherence are		
incorporated in TTIP;	comprehensively incorporated in TTIP;		
-	these should aim at ensuring the highest		
	levels of transparency on mutual		
	consultation and exchanges of best		
	practices on important regulatory		
	initiatives, as well as the use of better		
	regulatory approaches, including impact		
	assessments, evaluations and reviews of		
	existing measures;		
Comments: Regulatory cooperation itself creates the risk of prioritising trade over public			
interests.			

#### Amendment 80 Heidi Hautala, Pascal Durand

Paragran	/ h 1 – point g
Draft opinion	Amendment
g. Calls on the Commission to ensure that	g. Calls on the Commission to ensure that
clearly defined rules on regulatory	clearly defined rules on regulatory
coherence are comprehensively	coherence are comprehensively
incorporated in TTIP;	incorporated in TTIP and that the
	regulatory cooperation chapter applies
	only to clearly specified sectoral areas
	and that Parliament's role within the
	EU's decision-making process and its
	democratic scrutiny over EU regulatory
	processes is fully respected;
Comments: The amendment aims to safeguard	the democratic process. However, the



Comments: The amendment aims to safeguard the democratic process. However, the amendment does not limit the number of "clearly defined areas" that regulatory cooperation could cover.

### Amendment 81 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

++				
Paragraph 1 – point g				
Draft opinion	Amendment			
g. Calls on the Commission to <i>ensure that</i>	g. Calls on the Commission to <i>guarantee</i>			
clearly defined rules on regulatory	that the established regulatory systems on			
coherence are comprehensively	both sides of the Atlantic and the role of			
incorporated in TTIP;	the European Parliament in the EU's			
	decision-making procedure and its powers			

of scrutiny of the EU's regulato	ry
processes will be fully and comp	oletely
respected in creating the framev	vork for
future cooperation;	

Comments: The amendment aims to safeguard the democratic process.

### Amendment 82 Jiří Maštálka, Kostas Chrysogonos

Paragraph 1 – poi	nt g – point i (new)
Draft opinion	Amendment
	gi. Calls on the Commission to make clear to the negotiating partner that the precautionary principle is one of the fundamental principles of European environmental, health and consumer protection policy and is the basis for prompt, proactive negotiations to avoid putting the health of people, animals and plants at risk and damaging the environment; ensure that the negotiations do not result in the diluting of the precautionary principle which operates in the EU, particularly in the areas of environmental, health, food and consumer protection;

# Amendment 83 Jiří Maštálka, Kostas Chrysogonos

Paragraph	1 – point h
Draft opinion	Amendment
h. Calls on the Commission to ensure that the adoption of national legislation continues to be performed exclusively by legitimate legislative bodies of the EU and the USA and that the Regulatory Cooperation Body is not assigned any legislative powers but serves purely for purposes of cooperation, information exchange and supervision of the implementation of TTIP provisions;	h. Calls on the Commission to ensure that the adoption of national legislation continues to be performed exclusively by legitimate legislative bodies of the EU with promoting the highest standards of citizens protection, including health, safety, the environment, consumer and workers 'rights, public services of general interest, considers it vital to preserve the sovereignty of the Member States to derogate public and collective services, such as water, health, education, social security, cultural, media matters, product quality and the right of self-government of municipal and local authorities from the scope of TTIP negotiations. Urges the Commission to ensure that any procedures in the context of regulatory cooperation fully respect the legislative competences of the European Parliament and the Council in strict accordance with the EU Treaties and do not delay directly

### or indirectly the European legislative process;

Comments: The majority of this amendment falls outside our scope of expertise. We can welcome the urge mentioned in the last part of the amendment.

#### Amendment 84 Kostas Chrysogonos, Jiří Maštálka

+	-+
Paragraph	1 – point h
Draft opinion	Amendment
h. Calls on the Commission to ensure that	h. Calls on the Commission to ensure that
the adoption of national legislation	the adoption of national legislation
continues to be performed exclusively by	continues to be performed exclusively by
legitimate legislative bodies of the EU and	legitimate legislative bodies of the EU and
the USA and that the Regulatory	the USA;
Cooperation Body is not assigned any	
legislative powers but serves purely for	
purposes of cooperation, information	
exchange and supervision of the	
implementation of TTIP provisions;	



Comments: Regulatory cooperation itself creates the risk of prioritising trade over public

#### Amendment 85 Angelika Niebler

-	++
Paragraph	n 1 – point h
Draft opinion	Amendment
h. Calls on the Commission to ensure that	h. Calls on the Commission to ensure that
the adoption of national legislation	the adoption of national legislation
continues to be performed exclusively by	continues to be performed exclusively by
legitimate legislative bodies of the EU and	legitimate legislative bodies of the EU and
the USA and that the Regulatory	the USA and that the Regulatory
Cooperation Body is not assigned any	Cooperation Body is not assigned any
legislative powers but serves purely for	legislative powers but serves purely for
purposes of cooperation, information	purposes of cooperation <i>and</i> information
exchange and supervision of the	exchange;
implementation of TTIP provisions;	-
Comments: It should not be the role of a Regul	latory Cooperation Body to supervise the

implementation of TTIP provisions.

#### Amendment 86 Virginie Rozière

+	+
Paragraph 1 – point h	
Draft opinion	Amendment
h. Calls on the Commission to ensure that	h. Calls on the Commission to ensure that
the adoption of <i>national</i> legislation	the adoption of legislation continues to be
continues to be performed exclusively by	performed exclusively by legitimate
legitimate legislative bodies of the EU and	legislative bodies of the EU and the USA
the USA and that the Regulatory	and that the Regulatory Cooperation Body
Cooperation Body is not assigned any	is not assigned any legislative powers but

legislative powers but serves purely for purposes of cooperation, information exchange and supervision of the implementation of TTIP provisions;	serves purely for purposes of cooperation <i>and</i> information exchange;
implementation of TTIP provisions;  Comments: Same as above As above	

### Amendment 87 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

-	++
Paragraph	ı 1 – point h
Draft opinion	Amendment
h. Calls on the Commission to ensure that	h. Calls on the Commission to ensure that
the adoption of national legislation	the adoption of national legislation
continues to be performed exclusively by	continues to be performed exclusively by
legitimate legislative bodies of the EU and	legitimate legislative bodies of the EU and
the USA and that the Regulatory	the USA and that the Regulatory
Cooperation Body is not assigned any	Cooperation Body is not assigned any
legislative powers but serves purely for	legislative powers and cannot take any
purposes of cooperation, information	binding decision but serves purely for
exchange and <i>supervision</i> of the	purposes of cooperation, information
implementation of TTIP provisions;	exchange and <i>observation</i> of the
	implementation of <i>CETA and</i> TTIP
	provisions;
Comments: It should not be the role of a Regul	· · · · · · · · · · · · · · · · · · ·

Amendment 88 Kostas Chrysogonos, Jiří Maštálka

+ Paragraph	+ . 1 — point i
Draft opinion	Amendment
i. Notes that TTIP gives contracting parties the option of increasing protection of intellectual property, including in relation to third States;	deleted
Comments: Strengthening intellectual property rights locks in the EU, strengthens patent trolling and causes access to knowledge problems	

### Amendment 89 Angelika Niebler

implementation of TTIP provisions.

- Paragraph 1 – point i	
Draft opinion	Amendment
i. Notes that <i>TTIP gives contracting</i> parties the option of increasing protection	i. Notes that protection of intellectual property <i>needs to be increased</i> , including
of intellectual property, including in relation to third States.	in relation to third States, and that multilateral agreements to which all major patent-registering nations (the EU, the USA, Japan, South Korea and China) are parties, rather than bilateral agreements such as TTIP, are the most appropriate instrument for this purpose.



Comments: Strengthening intellectual property rights locks in the EU, strengthens patent trolling and causes access to knowledge issues.

#### Amendment 90 Angel Dzhambazki, Sajjad Karim

- Paragraph 1 – point i	
i. Notes that TTIP gives contracting parties the option of increasing protection of intellectual property, including in relation to third States.	Amendment  i. Recalls the crucial importance of intellectual property to the EU economy and requests that TTIP allow for increased levels of protection of EU intellectual property rights to support research and innovation on both sides of the Atlantic, ensuring that those who create high quality innovative products
	can continue to do so;
Comments: Strengthening intellectual property	rights locks in the EU, strengthens patent

#### Amendment 91 Constance Le Grip

trolls and causes access to knowledge issues.

Amendment Notes that TTIP is a second
NI-4 414 TTID -:
Notes that TTIP gives contracting parties the option of increasing protection of intellectual property, including in relation to third States; calls on the Commission to insure that such negotiations address also the need for enhanced recognition and protection of EU geographical indications.

# Amendment 92 Jiří Maštálka, Kostas Chrysogonos

++ Paragraph 1 – point i	
i. Notes that TTIP gives contracting parties the option of increasing protection of intellectual property, including in relation to third States.	i. Calls on the Commission to make sure that the question of IPR, including copyrights, trademarks and patents is not included in the negotiations as neither the Member States nor the EU have adopted comprehensive harmonisation measures for these matters;
Comments: Strengthening intellectual property of patent trolling and causes access to knowled	rights would lock in the EU, increase the risk
of patent trolling and causes access to knowled	lge issues.

+ Paragraph 1 – point i	
Draft opinion	Amendment
i. Notes that TTIP gives contracting parties	i. Notes that TTIP gives contracting parties
the option of increasing protection of intellectual property, including in relation to third States.	the option to ensure that the Intellectual Property Rights (IPR) chapter of TTIP includes provisions only for precisely and clearly defined areas of IPR where a common minimal denominator can be identified, while continuing to confirm the existing flexibilities in the Agreement on Trade-Related Aspects of Intellectual Property Rights (TRIPS), notably in the area of public health;

no ir

Comments: The result of the amendment would still lock in the EU. Safeguarding flexibilities notably in the area of public health risks deprioritising necessary limitations and flexibilities in other areas.

#### Amendment 94 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

++ Paragraph 1 – point i	
Draft opinion	Amendment
i. Notes that TTIP gives contracting parties the option of increasing protection of intellectual property, including in relation to third States.	i. Stresses that, while neither EU Member States nor the European Union have taken a decision on comprehensive harmonisation of the right to intellectual property, including copyright, trade marks and patents, the Commission ought not to negotiate on these interests in CETA or TTIP.



Comments: Strengthening intellectual property rights locks in the EU, strengthens patent trolls and causes access to knowledge issues.

#### Amendment 95 Virginie Rozière

- Paragraph 1 – point i	
Draft opinion	Amendment
i. <i>Notes</i> that TTIP gives contracting parties	i. Calls on the Commission to ensure that
the option of increasing protection of	TTIP gives contracting parties the option of
intellectual property, including in relation	increasing protection of intellectual
to third States;	property, including in relation to third
	States.
Comments: Strengthening intellectual property	rights locks in the EU, strengthens patent
trolls and causes access to knowledge issues.	-

#### Amendment 96 Cecilia Wikström

Draft opinion	Amendment
	ia. Considers it to be of great importance that the EU and the US remain committed and engaged in global multilateral patent harmonisation discussions through existing international bodies and thus cautions against attempting to introduce provisions on substantive patent law, in particular with regards to issues related to patentability and grace periods, into TTIP;

**#** 

Comments: Global multilateral patent harmonisation takes away the freedom to use patent rules as part of an industrial policy and set a level of patent protection appropriate to the level of development; it risks making software patentability a global norm. In 2005 the European Parliament overwhelmingly rejected the software patents directive.

# **Amendment 97 Kostas Chrysogonos**

++ Paragraph 1 – point i a (new)	
Draft opinion	Amendment
	ia. Considers that the inclusion of ISDS would be incompatible with the CJEU's exclusive jurisdiction over the definitive interpretation of EU law;
Comments: Incompatibility with the EU treatients	es is a risk that needs to be avoided.

# Amendment 98 Angelika Niebler

Paragraph 1 -	- point i a (new)
Draft opinion	Amendment
	ia. Calls on the Commission, with regard
	to market access, to introduce a
	horizontal exception clause to preserve
	the right of the EU and its Member States
	to adopt or maintain any measure
	relating to local-government
	administration of services of general
	interest, which should apply to both
	existing and future measures in all
	sectors and to all obligations;
Comments: This amendment falls outside our f	field of expertise.

#### **Amendment 99 Emil Radev**

Paragraph 1 – point i a (new)	
Draft opinion	Amendment
	ia. Calls on the Commission to preserve the protection of certain products of which the origin is of high importance. Therefore, the adequate assurance of the

	application of the geographical indicators is essential in order to be able to enforce those rules;
Comments: This amendment falls outside our f	ield of expertise.

#### Amendment 100 Therese Comodini Cachia

Paragraph 1 – point i a (new)	
Draft opinion	Amendment
	ia. Calls on the Commission to preserve
	the protection of certain products of
	which the origin is of high importance.
	Therefore, the adequate assurance of the
	application of the geographical indicators
	is essential in order to be able to enforce
	those rules;
Comments: This amendment falls outside our	field of expertise.

# Amendment 101 Jean-Marie Cavada, António Marinho e Pinto

Paragraph 1 – point i a (new)	
Draft opinion	Amendment
	ia. Calls on the Commission however to ensure that the rules on the cultural exception continue to be excluded from the negotiating mandate for Brussels;
Comments: The issue falls outside EDRi's r	nandate.

### Amendment 102 József Szájer

Paragraph 1 – subparagraph 1 (new)	
Draft opinion	Amendment
	Calls on the Commission to preserve the protection of certain products of which the origin is of high importance.  Therefore, the adequate assurance of the application of the geographical indicators is essential in order to be able to enforce those rules;

# Amendment 103 Angelika Niebler

Paragraph 1 – point i b (new)	
Draft opinion	Amendment
1 - 1	ib. Calls on the Commission,
	furthermore, with regard to market
	access, to ensure adequate provisions to
	exclude sensitive services such as public
	services and public utilities (including
	water, health, social security systems and

	education), allowing national and local authorities enough room for manoeuvre to legislate in the public interest; observes that, for these services, an explicit exception, based on Article 14 TFEU in conjunction with Protocol 26, must be incorporated in the agreement, irrespective of who provides them and in what form and how they are financed; notes that a joint declaration reflecting negotiators' clear commitment to exclude
	these sectors from the negotiations would
	be very helpful in this regard;
Comments: This amendment falls outside our fi	eld of expertise.

#### **Amendment 104 Kostas Chrysogonos**

++	
Paragraph 1 – point i b (new)	
Draft opinion	Amendment
	ib. Stresses the need to release all preparatory documents well before the EP is asked to vote on the final text;

Comments: Further transparency is much needed not only in TTIP, but in all trade and investment agreements. Preparatory documents can be used as an interpretative guide under the Vienna Convention. Failure to publish preparatory documents will limit the Parliament's ability to fully understand what it is being asked to support.

#### Amendment 105 Jean-Marie Cavada, António Marinho e Pinto

Paragraph 1 – point i b (new)	
Draft opinion	Amendment
	ib. Calls on the Commission to ensure in particular that all matters benefiting European artists and producers are included in the rules on cultural exception;

#### Amendment 106 Jean-Marie Cavada, António Marinho e Pinto

Paragraph 1 – point i c (new)	
Draft opinion	Amendment
	ic. Calls on the Commission to give guarantees regarding inclusion of the publishing sector in the cultural exception;
Comments: Strengthens the cultural exception and creates room to develop EU approach.	

#### Amendment 107 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

# Paragraph 1 – point i a (new)

Draft opinion	Amendment
	ia. Considers that there may
	fundamentally be a mutual interest in the
	elimination of non-tariff barriers to trade,
	but that it must be confined to various
	technical standards and regulations and,
	where appropriate, the abolition of
	duplicate authorisation procedures which
	are genuinely comparable; stresses that
	mutual recognition of standards and
	authorisation procedures can be accepted
	only if it does not result in any lowering
	of the level of protection; considers that
	parliamentary sovereignty over the
	definition of standards and authorisation
	procedures must be preserved;

# Amendment 108 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

Paragraph 1 – point i b (new)	
Draft opinion	Amendment
	ib. Rejects competition which entails
	dumping, in the course of which States
	and undertakings secure advantages
	through social or environmental
	dumping; considers, therefore, that, in
	the context of CETA and TTIP, the aim
	must be to improve rights of
	codetermination, labour standards,
standards of health protection and	
	consumer protection, and social and
	environmental standards;
Comments: This amendment falls outside our field of expertise.	

# Amendment 109 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

Paragraph 1 – point i c (new)	
Draft opinion	Amendment
	ic. Observes that, in the field of public procurement, social and ecological procurement criteria and their possible extension must not be called into question;
Comments: This amendment falls outside our field of expertise.	

# Amendment 110 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

Paragraph 1 – point i d (new)	
Draft opinion	Amendment
	id. Observes that CETA and TTIP must
	prove their value by contributing to progress in the protection of employees'

	rights, consumer protection and sustainable economic development on a global scale;
Comments: This amendment falls outside our field of expertise.	

### Amendment 111 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

Paragraph 1 – point i e (new)	
Draft opinion	Amendment
	ie. Calls on the Commission to ensure
	that both contracting parties undertake,
	in particular, to respect and implement
	core ILO labour standards and the OECD
	Guidelines for Multinational Enterprises;
	considers that compliance with labour
	and social standards must be effectively
	secured in case of conflict;
Comments: This amendment falls outside our field of expertise.	

### Amendment 112 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

Paragraph 1 – point i f (new)	
Draft opinion	Amendment
	if. Stresses that under no circumstances may the right to codetermination, works constitution and free collective bargaining or other protective rights for workers, the environment and consumers be interpreted as 'non-tariff trade barriers';
Comments: This amendment falls outside our field of expertise.	

### Amendment 113 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

Paragraph 1 – point i g (new)		
Draft opinion	Amendment	
	ig. Stresses that the transatlantic negotiations should also be used to step up regulation of sectors of global financial markets which have hitherto been insufficiently regulated;	

# Amendment 114 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

++ Paragraph 1 – point i h (new)		
Draft opinion	Amendment	
	ih. Observes, furthermore, that unclear definitions of legal terms in CETA and TTIP such as 'fair and equitable treatment' or 'indirect expropriation'	



must be rejected;

# Amendment 115 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

Paragraph 1 – point i i (new)	
Draft opinion	Amendment
	ii. Calls on the Commission to adopt a positive list approach; considers that, in the services sector, lists must be discussed and drawn up together with those concerned, including trade unions;
Comments: This amendment falls outside our field of expertise.	

# Amendment 116 Dietmar Köster, Sylvia-Yvonne Kaufmann, Evelyne Gebhardt

++ Paragraph 1 – point i j (new)		
Draft opinion	Amendment	
	ij. Calls on the Commission to ensure that CETA and TTIP include clauses which make it possible to correct undesirable and wrong developments and, where appropriate, permit termination of the agreements;	
Comments: A deep integration agreement may have unforeseen effects.		